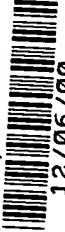


FFZ
MM
52201
923.1004CIP

UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: Taiichi MATSUO, et al.
Serial No.: Not Yet Known
Filed: Date Even Herewith
For: ELECTRONIC SETTLING SYSTEM AND
ELECTRONIC SETTLING METHOD

jc853 U.S. PRO
09/731071
12/06/00


INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

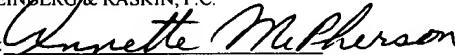
December 6, 2000

Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, applicant herewith submits form PTO-1449 which lists references of which the applicant is aware. A copy of each of these references is submitted herewith.

Please note English-language translations of these references are not available. However, an English-language abstract of each of these references is submitted herewith. It is respectfully submitted that the relevance of these references can be readily understood by referring to the drawings and English-language abstracts provided.

I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Assistant Commissioner for Patents Washington, D.C. 20231" on December 6, 2000.
STEINBERG & RASKIN, P.C.

BY: 

This submission does not represent that a search has been made or that no better art exists.

While the term "reference" is used in citing the publication called to the Examiner's attention herein, applicant does not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicant reserves the right to contend, where appropriate, that a reference asserted against any claim of the present application is not prior art under the facts and the law.

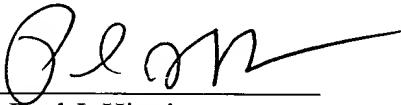
Applicant also reserves the right to present appropriate arguments and/or evidence to establish patentability over the reference, should it be applied against the claims of the present application.

It is respectfully requested that the references be considered and made of record.

Respectfully submitted,

STEINBERG & RASKIN, P.C.

By:


Paul J. Higgins
Reg. No. 44,152

Steinberg & Raskin, P.C.
1140 Avenue of the Americas
New York, New York 10036
(212) 768-3800

Encls.
PTO-1449
4 References

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.: 923.1004CIP		SERIAL NO.: Not yet known					
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT(S): Taiichi MATSUO, et al.							
				FILING DATE: Concurrently herewith		GROUP: Not yet known					
U.S. PATENT DOCUMENTS											
*EXAMINER INITIAL		DOCUMENT NUMBER			DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
AA											
AB											
AC											
AD											
AE											
AF											
AG											
AH											
AI											
AJ											
AK											
FOREIGN PATENT DOCUMENTS											
		DOCUMENT NUMBER				DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		1	0	7	8	9	9	1		YES	NO
AL		1	0	7	8	9	9	1		Abstract	
AM		1	0	2	9	3	7	99		Abstract	
AN		1	1	3	3	8	7			Abstract	
AO		1	1	9	6	2	5	2		Abstract	
AP											
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)											
	AQ										
	AR										
	AS										
EXAMINER							DATE CONSIDERED				
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>											

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12/06/00